Non-reductive geometric invariant theory and hyperbolicity

Gergely Bérczi – Aarhus joint work with Frances Kirwan arXiv:1909.11495, arXiv:1909.11417

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X is said to be **Brody hyperbolic** if there are no non-constant entire holomorphic

curves $f : \mathbb{C} \to X$. Brody showed that for compact X

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X is said to be **weakly hyperbolic** if there exists an algebraic variety $Y \subsetneq X$ such that for all non-constant holomorphic $f : \mathbb{C} \to X$ one has $f(\mathbb{C}) \subset Y$.

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- McQuillen (1998): Positive answer for surfaces if $c_1^2 c_2 > 0$.
- Demailly and Siu (90's): Strategy for projective hypersurfaces
- Siu (1996) Positive answer for the GGL conjecture hypersurfaces of high degree.
- Diverio, Merker, Rousseau (2009): Effective lower bound, $deg(X) > 2^{n^5} \Rightarrow GGL$ (degree improved by B., Merker, Demailly, but these are exponential bounds)
- Brotbek (2016) Proof of Kobayashi for sufficiently high degree (not effective). Effective (exponential) bound by Deng (improved by Demailly, Merker)

Today: Report on the proof of polynomial GGL and Kobayashi theorem.

Strategy ((Demailly, Siu 1990's, Diverio-Merker-Rousseau '09)

Let $f : \mathbb{C} \to X$, $t \to f(t) = (f_1(t), f_2(t), \dots, f_n(t))$ be a curve written in some local holomorphic coordinates (z_1, \dots, z_n) on X. The **k-jet bundle** is

$$J_k X = \{f_{[k]} : f : (\mathbb{C}, 0) \to X\} \to X$$

sending $f_{[k]}$ to f(0). Fibre is

$$J_k(1,n) = \{(f'(0), f''(0), \dots, f^{(k)}(0)) : f^{(i)}(0) \in \mathbb{C}^n\}$$

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The group of reparametrizations $\text{Diff}_k = J_k^{\text{reg}}(1,1)$ acts fiberwise on $J_k X$. $\text{Diff}_k = U_k \rtimes \mathbb{C}^*$, and for $\lambda \in \mathbb{C}^*$

$$(\lambda \cdot f)(t) = f(\lambda \cdot t), \text{ so } \lambda \cdot (f', f'', \dots f^{(k)}) = (\lambda f', \lambda^2 f'', \dots \lambda^k f^{(k)}).$$

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Consider algebraic differential operators = polynomial functions on $J_k X$. Locally in multi-index notation

$$\mathcal{Q}(f',f'',\ldots,f^{(k)})=\sum_{lpha_{1}\in\mathbb{N}^{n}}a_{lpha_{1},lpha_{2},\ldotslpha_{k}}(f(t))(f'(t)^{lpha_{1}}f''(t)^{lpha_{2}}\cdots f^{(k)}(t)^{lpha_{k}},$$

where $a_{\alpha_1,\alpha_2,...\alpha_k}(z)$ are holomorphic coefficients on X and $t \to z = f(t)$ is a curve. Q is homogeneous of weighted degree m under the \mathbb{C}^* action iff

$$Q(\lambda f', \lambda^2 f'', \dots, \lambda^k f^{(k)}) = \lambda^m Q(f', f'', \dots, f^{(k)}).$$

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 $Q((f \circ \phi)', (f \circ \phi)'', \ldots, (f \circ \phi)^{(k)}) = \phi'(0)^m Q(f', f'', \ldots, f^{(k)}).$

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More precisely: We want meaningful projective completions of $J_k X/\text{Diff}_k \subset \mathbb{P}^N$ such that (global) sections of $\mathcal{O}_{\mathbb{P}^N}(1)$ are invariant jet differentials. This should be a fibrewise compactification $\mathcal{X}_k \to X$ whose fiber is isomorphic to a projective completion of $J_k(1, n)/\text{Diff}_k$.

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 $\varphi(z) = \alpha_1 z + \alpha_2 z^2 + \ldots + \alpha_k z^k \in \text{Diff}_k \text{ then}$

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If $f(z) = zf'(0) + \frac{z^2}{2!}f''(0) + \ldots + \frac{z^k}{k!}f^{(k)}(0) \in J_k(1, n)$ and $\varphi(z) = \alpha_1 z + \alpha_2 z^2 + \ldots + \alpha_k z^k \in \text{Diff}_k$ then

$$f \circ \varphi(z) = (f'(\mathbf{0})\alpha_{1})z + (f'(\mathbf{0})\alpha_{2} + \frac{f''(\mathbf{0})}{2!}\alpha_{1}^{2})z^{2} + \ldots + \left(\sum_{i_{1}+\ldots+i_{j}=k} \frac{f^{(j)}(\mathbf{0})}{i!}\alpha_{i_{1}}\ldots\alpha_{i_{j}}\right)z^{k} =$$

$$= (f'(\mathbf{0}), \dots, f^{(k)}(\mathbf{0})/k!) \cdot \begin{pmatrix} \mathbf{0} & \alpha_1^2 & 2\alpha_1\alpha_2 & \dots & 2\alpha_1\alpha_{k-1} + \dots \\ \mathbf{0} & \alpha_1^2 & 2\alpha_1\alpha_1 & \dots & 2\alpha_1\alpha_{k-1} + \dots \\ \mathbf{0} & \mathbf{0} & \alpha_1^3 & \dots & 3\alpha_1^2\alpha_{k-2} + \dots \\ \mathbf{0} & \mathbf{0} & \mathbf{0} & \dots & \dots \\ \mathbf{0} & \mathbf{0} & \mathbf{0} & \dots & \mathbf{0} \end{pmatrix} = U_k \rtimes \mathbb{C}^*$$

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• The fibre of the Demailly-Semple bundle (tower of projective bundles) is a smooth compactification. This was used for over 30 years to attack the conjectures, and in particular Diverio-Merker-Rousseau in 2009 gave triplke exponential (but effective!) degree bound in the GGL conjecture. We can derive iterated residue formulae for intersection numbers on this using localisation and improve the bound to exponential (Bérczi 2011)

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- The curvilinear component of the punctual Hilbert scheme Hilb₀^{k+1}(Cⁿ) is a very singular compactification. Residue formula for intersection pairings was worked out by Bérczi-Szenes (Annals, 2012). Using this compactification we can get polynomial degree bound for hypersurfaces in the hyperbolicity conjectures modulo a positivity conjecture of Rimányi (Bérczi, 2015).

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- Diff_k is not reductive. For non-reductive H, O(X)^H is not necessarily finitely generated (Nagata). So Proj(O(X)^G) is not a projective variety, and the image of π : X → Proj(O(X)^G) is just constructible.

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• Diff_k is not reductive. For non-reductive H, $\mathcal{O}(X)^H$ is not necessarily finitely generated (Nagata). So $Proj(\mathcal{O}(X)^G)$ is not a projective variety, and the image of $\pi: X \to Proj(\mathcal{O}(X)^G)$ is just constructible. Even if $\mathcal{O}(X)^G$ is finitely generated, $Proj(\mathcal{O}(X)^G)$ is not a set of *s*-equivalence classes in $X^{ss} \subset X$ But: Between 2010-2017 Kirwan-Bérczi-Doran-Hawes developed non-reductive GIT, and gave a third way to compactify $J_k(1, n)/\text{Diff}_k$. This has symplectic description and hence a powerful cohomology theory. Moreover there is a master blow-up of the NRGIT quotient which maps to both two previous comp's

Assume $\pi : \mathcal{X}_k \to X$ is a projective completion of $J_k X / \text{Diff}_k$ which has the structure of a locally trivial bundle over X, endowed with a canonical bundle $\mathcal{O}_{\mathcal{X}_k}(1)$ such that

$$\pi_*\mathcal{O}_{\mathcal{X}_k}(m)\subset \mathcal{O}(E_{k,mN})$$

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then $f_{[k]}(\mathbb{C}) \subset Z_{\sigma}$.

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If σ_j is a set of sections then the image f(ℂ) lies in Y = π_k(∩ Z_{P_j}), hence GGL holds if there are enough independent differential equations so that Y = π_k(∩(Z_{P_j})) ⊊ X.

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$$\pi_*\mathcal{O}_{\mathcal{X}_k}(m)\subset \mathcal{O}(E_{k,mN})$$

is a subsheaf of the sheaf of sections of $E_{k,mN}$.

Fundamental vanishing theorem (Green-Griffiths '78, Demailly '95, Siu '96) Let $P \in H^0(X, E_{k,m} \otimes \mathcal{O}(-A))$ be a global algebraic differential operator whose coefficients vanish on some ample divisor A. Then for any $f : \mathbb{C} \to X$, $P(f_{[k]}(\mathbb{C})) \equiv 0.$ (note $f_{[k]}(\mathbb{C}) \subset J_k X$) Corollary

 $\textcircled{O} \text{ Let } \sigma \text{ be a nonzero element of }$

$$H^{0}(\mathcal{X}_{k}, \mathcal{O}_{\mathcal{X}_{k}}(m) \otimes \pi^{*}\mathcal{O}(-A)) \subset H^{0}(X, E_{k,mN} \otimes \mathcal{O}(-A)),$$

then $f_{[k]}(\mathbb{C}) \subset Z_{\sigma}$.

If σ_j is a set of sections then the image f(ℂ) lies in Y = π_k(∩ Z_{P_j}), hence GGL holds if there are enough independent differential equations so that Y = π_k(∩(Z_{P_i})) ⊊ X.

It is crucial to control in a more precise way the order of vanishing of these differential operators along the ample divisor. One gets

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$$H^{0}(\mathcal{X}_{n}, \mathcal{O}_{\mathcal{X}_{n}}(m) \otimes \pi^{*} K_{X}^{-\delta m}) \simeq H^{0}(X, E_{n,mN} T_{X}^{*} \otimes K_{X}^{-\delta m}) \neq 0$$

whenever $\deg(X) > D(n, \delta)$ provided that $m > m_{D,\delta,n}$ is large enough. Then GGL holds for

$$\deg(X) \geq \max(D(n,\delta), \frac{5n+3}{\delta} + n + 2).$$

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Then

$$h^{0}(X, L^{\otimes m}) - h^{1}(X, L^{\otimes m}) \geq \frac{m^{n}}{n!}(F^{n} - nF^{n-1}G) + \mathcal{O}(m^{n-1}) > 0$$

In short: Problem is reduced to algebraic geometry and integration on \mathcal{X}_k : we need to prove the positivity of a cohomological intersection number on the r.h.s.

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Gergely Bérczi – Aarhus joint work with Frances Kirwan arXiv:190 NRGIT&Hyperbolicity

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Moreover without condition (*) there is a GIT blow-up process to provide a \hat{U} -equivariant blow-up \tilde{X} of X for which (*) holds. Theorem works for graded linear groups in general.

 $\hat{U} = U \rtimes \mathbb{C}^*$ positively graded \mathbb{C}^* -extension of a unipotent group U. Let X be a projective variety endowed with a linear action of \hat{U} with respect to some ample line bundle L. Assume (s=ss) condition of the \hat{U} -Theorem holds (otherwise we blow-up!).

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This is an equivariantly perfect stratification giving us a simple expression of the Poincaré series of the GIT quotient as

$$P_t(X//\hat{U}) = P_t^{S_1}(X^{\mathrm{ss},\hat{U}}) = P_t^{S_1}(X_{\min}^{\mathbb{C}^*})(1-t^{2d}) = P_t(X_{\min}^{\mathbb{C}^*})\frac{1-t^{2d}}{1-t^2}$$

where $d = \dim(X) - \dim(U)$.

 $\hat{U} = U \rtimes \mathbb{C}^*$ positively graded \mathbb{C}^* -extension of a unipotent group U. Let X be a projective variety endowed with a linear action of \hat{U} with respect to some ample line bundle L. Assume (s=ss) condition of the \hat{U} -Theorem holds (otherwise we blow-up!). The embedding $X \subset \mathbb{P}(\bigoplus_{i=1}^{\infty} H^0(L^{\otimes i})) = \mathbb{P}(V)$ gives a canonincal linearisation $\hat{U} \subset GL(V)$ and a canonical \hat{U} -moment map $\mu_{\hat{U}} = \mu_{GL} \circ \pi : X \to \text{Lie}(\hat{U})$. Theorem [Symplectic reduction for NRGIT quotients, B-Kirwan '19]

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$$\mu_{\hat{U}}^{-1}(0) \subset X^{ss,\hat{U}} = X^{s,\hat{U}}.$$

• The embedding $\hat{U}\mu_{\hat{U}}^{-1}(0) \hookrightarrow X^{s,\hat{U}}$ induces a homeomorphism

$$\mu_{\hat{U}}^{-1}(0)/S^1 \simeq X//\hat{U}$$

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$$X_{\min} = X^{s,\hat{U}} \cup UX_{\min}^{\mathbb{C}^*}.$$

This is an equivariantly perfect stratification giving us a simple expression of the Poincaré series of the GIT quotient as

$$P_t(X/|\hat{U}) = P_t^{S_1}(X^{ss,\hat{U}}) = P_t^{S_1}(X_{min}^{\mathbb{C}^*})(1-t^{2d}) = P_t(X_{min}^{\mathbb{C}^*})\frac{1-t^{2d}}{1-t^2}$$

where $d = \dim(X) - \dim(U)$.

Note that a key difference to the reductive picture of Kirwan is that for \hat{U} actions we have a distinguished critical set $X_{\min}^{\mathbb{C}^*}$ which carries all cohomological information.

Reductive abelianisation: The following diagram of Shaun Martin relates X//G and $X//T_{\mathbb{C}}$ through a fibering and an inclusion:

$$\mu_{K}^{-1}(0)/T \xrightarrow{\bigoplus_{\alpha \in \Delta^{-L_{\alpha}}}} \mu_{T}^{-1}(0)/T = X//T_{\mathbb{C}}$$

$$\downarrow^{\operatorname{vert}(\pi) \simeq \bigoplus_{\alpha \in \Delta^{+L_{\alpha}}}} L_{\alpha} = \mu_{T}^{-1}(0) \times_{T} \mathbb{C}_{\alpha} \to X//T_{\mathbb{C}}$$

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Classical results:

• (SM,'00)
$$H^*(X//G,\mathbb{Q}) \simeq \frac{H^*(X//T,\mathbb{Q})^W}{ann(e)}$$
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Non-reductive case:

The description of the corresponding normal bundles is similar using the line bundles corresponding to the roots of U.

Theorem [Non-reductive abelianisation, B-Kirwan '19] (1) Cohomology

$$\mathsf{H}^*(X/\!/\hat{U},\mathbb{Q})\simeq \mathsf{H}^*(X/\!/\mathbb{C}^*,\mathbb{Q})/$$
ann $(e(V_\mathfrak{u})$

where

$$\operatorname{ann}(e(V_{\mathfrak{u}})) = \{c \in H^*(X//\mathbb{C}^*, \mathbb{Q}) | c \cup e(V_{\mathfrak{u}}) = 0\}$$

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(2) Intersection numbers

$$\int_{X//\hat{U}} i^*(\alpha) = \int_{X//\mathbb{C}^*} \alpha \cup e(V_{\mathfrak{u}}),$$

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(3) Integration formula For any Chern polynomial $\phi(V) \in \mathbb{C}[c_1(V), c_2(V), \ldots]$ whose degree is the dimension of $X//\hat{U}$ we have

$$\int_{X//\hat{U}} \phi(V) = n_{\mathbb{C}^*} \operatorname{Res}_{z=\infty} \int_{X_{\min}^{\mathbb{C}^*}} \frac{i_{X_{\min}^{\mathbb{C}^*}}^*(\phi(V) \cup e(V_{\mathfrak{u}}))dz}{e^T(\mathcal{N}_{X_{\min}^{\mathbb{C}^*}})}$$

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Polynomial Kobayashi theorem (B-Kirwan '19). A generic smooth projective hypersurface $X \subseteq \mathbb{P}^{n+1}$ of degree deg $(X) \ge 16(2n-1)^5(10n-1)$ is Brody hyperbolic.

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$$\pi_*\mathcal{O}_{\mathcal{X}_k}(m)\subseteq \mathcal{O}(E_{k,\leq 2Nkm}))$$

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is a subsheaf of the sheaf of holomorphic sections of $E_{k,\leq 2Nkm} = \bigoplus_{i=0}^{2Nkm} E_{k,i}$.

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$$H^{0}(\mathcal{X}_{k}, \mathcal{O}_{\mathcal{X}_{k}}(m) \otimes \pi^{*}K_{X}^{-2\delta Nnm}) \neq \emptyset$$

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$$\int_{\mathcal{X}_k} (u+4Nh)^{n^2} - n^2(u+4Nh)^{n^2-1}(4Nh+2\delta nN(d-n-2)h > 0$$

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where $h = c_1(\mathcal{O}_X(1)), u = c_1(\mathcal{O}_{\mathcal{X}_k}(1))$. The moment map description gives

$$\int_{\mathcal{X}_{k}} I_{n,\delta} = \int_{\mathcal{X}} \underset{w=\infty}{\operatorname{Res}} \underset{z=\infty}{\operatorname{Res}} \frac{(n-1)!(z-w)^{n-1} I_{n,\delta}(z,w,h) dw dz}{(-1)^{n-1} z \prod_{l=0}^{n-1} (lz-(l+1)w)^{n}} \prod_{l=0}^{n-1} \left(1 + \frac{dh}{lz-(l+1)w} \right) \left(1 - \frac{h}{lz-(l+1)w} + \ldots \right)^{n+2} > 0$$

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